

FACTS	WHAT DOES KORNITZER CAPITAL MANAGEMENT, INC. ("Kornitzer") DO WITH YOUR PERSONAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>• Name</li> <li>• Social Security number</li> <li>• Account balances and transaction history</li> <li>• Address</li> <li>• Investment Experience</li> <li>• Account transactions</li> <li>• Income</li> <li>• Assets</li> <li>• Risk Tolerance</li> </ul> <p>If you decide at some point to either terminate our services or become an inactive client, we will continue to adhere to our privacy policy, as may be amended from time to time.</p>
How?	All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons Kornitzer chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Kornitzer share?	Can you limit this sharing?
<b>For our everyday business purposes</b> – such as to process your transactions and maintain your account(s)	Yes. Kornitzer may share personal information described above for business purposes with a third party if the entity is under contract to perform transaction processing or servicing on behalf of Kornitzer and otherwise as permitted by law. Any such contract entered by Kornitzer will include provisions designed to ensure that the third party will uphold and maintain privacy standards when handling personal information. Kornitzer may also disclose personal information to regulatory authorities as required by applicable law.	No
<b>For our marketing purposes</b> – to offer our products and services to you	No	We don't share
<b>For joint marketing with other financial companies</b>	No	We don't share
<b>For our affiliates' every day business purposes</b> – information about your transactions and experiences	Yes	No
<b>For our affiliates' everyday business purposes</b> – information about your creditworthiness	No	We don't share
<b>For our affiliates to market to you</b>	Yes	Yes ( <i>see below</i> )
<b>For nonaffiliates to market to you</b>	No	We don't share

To limit our sharing	<ul style="list-style-type: none"> <li>• Call <b>913-677-7778</b></li> <li>• Visit us online: <a href="http://www.kornitzercapitalmanagement.com">www.kornitzercapitalmanagement.com</a></li> </ul> <p><b>Please note:</b> If you are a new customer, we can begin sharing information 30 days from the date you receive this notice. When you are no longer our customer, we may continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.</p>
----------------------	---

Questions?	<b>Call 913-677-7778 or go to <a href="http://www.kornitzercapitalmanagement.com">www.kornitzercapitalmanagement.com</a></b>
------------	--

## Who we are

**Who is providing this notice?**

Kornitzer Capital Management, Inc.

## What we do

**How does Kornitzer protect my personal information?**

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards, secured files and buildings, prompt and secure disposal of all unnecessary customer information, limiting access to information about you to only those associates who have a need to know, and training our associates.

**How does Kornitzer collect my personal information?**

We collect your personal information, for example, when you:

- Complete account paperwork
- Seek advice about your investments
- Enter into an investment advisory contract
- Give us your contact information

We also collect your personal information from others, such as affiliates or other companies.

**Why can't I limit all sharing?**

Federal law gives you the right to limit only:

- Sharing for affiliates' everyday business purposes – information about your creditworthiness
- Affiliates from using your information to market to you
- Sharing for non-affiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

## Definitions

**Affiliates**

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- ***Our affiliates include Great Plains Trust Company, which serves as trustee and custodian for individual trusts, qualified employee benefit plans and a variety of retirement plans, among other things.***

**Nonaffiliates**

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- ***Kornitzer may share personal information described above for business purposes with non-affiliated third parties performing transaction processing or servicing on behalf of Kornitzer and otherwise as permitted by law. Such companies may include custodians, broker-dealers, banks, investment advisers and mutual fund companies. Kornitzer may also share personal information with parties who provide technical support for our hardware and software systems and our legal and accounting professionals. Kornitzer does not share with non-affiliates so that they can market their services or products to you.***

**Joint Marketing**

A formal agreement between nonaffiliates' financial companies that together market financial products to you.

- ***Kornitzer doesn't jointly market.***